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Patent Application  
Docket No. 48279-5

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10/25/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of:

Lee A. Bulla, Jr. and Mehmet Candas

For: MICROBE, MICROBIAL EXOPOLYSACCHARIDE, AND USES THEREOF

BOX APPLICATION  
Assistant Commissioner  
for Patents  
Washington, D.C. 20231

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PATENT APPLICATION TRANSMITTAL LETTER

Transmitted herewith for filing, please find the following:

- X Specification, claims and abstract of the above-referenced patent application (total of 56 pages)
- X 7 sheet(s) of drawing(s) (\_\_\_ formal/ x informal).
- X Combined Declaration and Power of Attorney (signed)
- \_\_\_ An Assignment of the invention to: \_\_\_\_\_
- X A verified statement claiming small entity status under 37 CFR 1.9(f) and 1.27(b) - Independent Inventor.

X Priority is claimed under 35 U.S.C. § 119 based on filing in U.S. Patent and Trademark Office .

	<u>Application No.</u>	<u>Filing Date</u>
(1)	60/161,588	10/26/99
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TOTAL CLAIMS	42 - 20	22	\$9	\$198
INDEPENDENT CLAIMS	8- 3	5	\$40	\$200
MULTIPLE DEPENDENT CLAIM(S) PRESENTED			\$135	\$135
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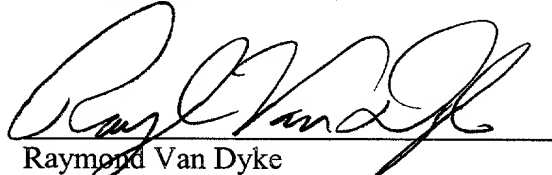
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Date: October 25, 2000

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**VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) & 1.27(b)) - INDEPENDENT INVENTOR**

Applicant or Patentee: Bulla, Jr. et al.

Serial No. Unknown

Filed: Unknown

Title: MICROBE, MICROBIAL EXOPOLYSACCHARIDE, AND USES THEREOF

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for the purposes of paying reduced fees to the Patent and Trademark Office with regard to the invention as described in:

☒ [X ] the specification filed herewith.

☐ [ ] application serial no. \_\_\_\_\_, filed \_\_\_\_\_.

☐ [ ] patent no. \_\_\_\_\_, issued \_\_\_\_\_.

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(c) or a nonprofit organization under 37 CFR 1.9(c).

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I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

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LEE A. BULLA, JR.

Date: 25 Oct 00


  
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Date: 10/25/2000

  
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Patent Application  
Docket No. 48297-5USPT

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**MICROBE, MICROBIAL EXOPOLYSACCHARIDE,  
AND USES THEREOF**

**CROSS-REFERENCES TO RELATED APPLICATIONS**

This Application for Patent claims the benefit of  
priority from, and hereby incorporates by reference the  
entire disclosure of, co-pending U.S. Provisional Application  
5 for Patent Serial No. 60/161,588 filed October 26, 1999.

**TECHNICAL FIELD OF THE INVENTION**

The present invention relates in general to a novel non-  
pathogenic microbe that produces a nontoxic, non-antigenic  
10 exopolysaccharide. The use of the microbe and  
exopolysaccharide in environmental engineering, agricultural,  
geologic, consumer and medical applications is described.

Inhibition and control of mucoidy exopolysaccharide is also described.

#### BACKGROUND OF THE INVENTION

5           The invention pertains to a novel non-pathogenic microbe that produces a non-toxic, non-antigenic exopolysaccharide. The exopolysaccharide can be used as a biofilm in environmental engineering and agricultural applications and as a filler or polymer in consumer and medical applications.  
10       Biofilm applications are described first, then particular medical applications are described.

          The term "biofilm" is used to describe an organic material that includes microorganisms embedded in a polymer matrix of their own making. The matrix consists largely of  
15       exopolysaccharides and is a tough, elastic, mucoidal material that adheres strongly to soil particles. Growth of a biofilm in a sandy soil is achieved by injecting a bacterial and nutrient solution into soil specimens. The resulting biofilm treatment is used to clog soil pores, thereby reducing the  
20       ability of the soil to transmit fluids.

          Examples of biofilms are produced by certain strains of *Klebsiella pneumoniae* and *Pseudomonas* species. A problem with the use of *K. pneumoniae* is that *Klebsiella* is a genus

that includes a number of human pathogens. Furthermore, the pathogenicity of *K. pneumoniae* itself is associated with its ability to create a mucoidal exopolysaccharide used in attachment and colonization that helps the pathogen evade  
5 both the non-specific and specific immune clearing defensive mechanisms.

Another example of a biofilm is described in U.S. Patent No. 4,800,959, by Costerton, which discloses the use of a microbial process for selectively plugging a subterranean  
10 formation. In the process taught, a highly permeable stratum or zone in a subterranean reservoir is plugged using *Klebsiella* or *Pseudomonas* bacteria that were starved to reduce their size prior to being injected into the target zone. The bacteria regain full cell size, proliferate and  
15 commence production of biofilm-forming exopolysaccharides upon exposure to minimal nutrient containing media. The biofilm produced by these bacteria selectively seal off the high permeability zones of a formation and reduce aqueous flow through the zone.

20 In addition to the above described biofilm uses, there has been a need for perfusion solutions and blood substitutes. Currently available and approved compounds, however, have so far failed to meet the increasing demands



on our blood provider system. A number of blood substitutes have been developed over the last few years to attempt to meet the increasing demand for blood, blood substitutes and plasma expanders. Unfortunately, many of the plasma expanders that are currently in use fail as the small molecules on which they depend to provide osmotic pressure readily traverse capillary beds as a consequence of the negative osmotic pressure found in post-arterial capillary beds. The loss of osmotic potential, makes the long-term use of current plasma expanders for maintaining proper ionic or fluid balance or plasma volume in a mammalian subject unsatisfactory.

Those blood substitutes that have an impermeable substance to maintain volume use human serum albumin or a mixture of plasma proteins as the oncotic agent. These substitute plasma proteins depend on the same blood and plasma supply as our current blood provider system, therefore failing to meet the increased demand for these products.

A number of patents have issued to Segall that are directed to blood and plasma substitutes. U.S. Patent No. 4,923,442, and the reissue thereof, discloses a number of solutions used in blood substitution of living subjects all of which include at least some concentration of a

cardioplegia agent, usually potassium ion. U.S. Patent No. 4,923,442 discloses surgical methods, particularly in respect to instrument placement and the control of pulmonary wedge pressure generally applicable to perfusion of subjects.

5 U.S. Patent No. 5,130,230 discloses a blood substitute that may be used as a system of solutions in which a number of solutions, are used sequentially to completely replace the blood of living subjects. U.S. Patent No. 5,130,230 discloses that the blood substitute comprises "an aqueous  
10 solution of electrolytes at physiological concentration, a macromolecular oncotic agent, a biological buffer having a buffering capacity in the range of physiological pH, simple nutritive sugar or sugars, and magnesium ion in a concentration sufficient to substitute for the flux of  
15 calcium across cell membranes."

In addition to the patented inventions described above, a number of commercially available products have been used for the treatment of hypovolemic patients. These include: HESPAN<sup>TM</sup> (6% hetastarch in 0.9% sodium chloride injection,  
20 PENTASPAN<sup>TM</sup> (10% pentastarch in 0.9% sodium chloride injection [both by DUPONT PHARMACEUTICALS<sup>TM</sup>, Wilmington Del.]), MACRODEX<sup>TM</sup> (6% dextran 70 in 5% dextrose injection or 6% dextran 70 in 0.9% sodium chloride injection [PHARMACIA, INC.<sup>TM</sup>, Piscataway,

N.J.]) and RHEOMACRODEX™ (10% dextran 40 in 5% dextrose injection or 10% dextran 40 in 0.9% sodium chloride injection [PHARMACIA, INC.™, Piscataway, N.J.])). All of these products, however, depend on compounds that are polymeric and  
5 that often dissociate or are broken down by natural physiologic enzymes with time. Alternatively, bacteria may take advantage of these newly supplied nutrient sources, causing severe septicemia in patients that are infected by pathogens at the time of injury. Thus, a need remains for  
10 a better oncotic agent.

The ability to produce mucoidal exopolysaccharides in medically important bacteria is critical to attachment to surfaces, resulting in increased resistance to drug treatments. Both chemical and physical treatments have been  
15 developed to control biofilm formation. *Methods in Enzymology*, Vol. 310, Biofilms, Ed. Ron J. Doyle, Academic Press, 1999. However, because biofilms are associated with pathogenicity, persistent and resistant bacterial infections and bio-corrosion of industrial structures, there is need for  
20 additional simple and efficient methods to control biofilms.

#### SUMMARY OF THE INVENTION

The newly discovered bacterium LAB-1, deposited at ATCC No. PTA-2500, possesses a number of potential commercial biofilm applications. These include, but are not limited to:

5 (1) subsurface biofilm cutoff wall formation; (2) subsurface liners that include compacted, biofilm treated soil; (3) *in-situ* biofilm liners; (4) barriers made by treating geotextiles with biofilm materials; (5) improved ability of sand to retain moisture; (6) reclamation of poor soils and

10 conversion into agriculture land; (7) significantly increased soil biomass in the form of polymers that function as a nutrient supply for plant growth and/or help retain nutrients and water; and (8) providing cohesion to otherwise cohesionless soils (such as sand dunes), thus making the soil

15 more resistant to erosion by wind and/or water.

It has been found that the prior art methods and biofilms fail to provide biologically and environmentally safe and efficacious water, soil and waste retention characteristics. A significant problem with existing

20 technology is the pathogenicity of the bacteria used to produce the biofilms. The present invention, therefore, is directed to a non-pathogenic bacterium that produces a biofilm made of exopolysaccharide that is essentially made

of neutral sugars that migrate at the same rate as: mannose, fucose, fructose and galactose, acidic sugars that migrate at the same rate as fucose and amine sugars that migrate at the same rate as glucose and fucose.

5           More particularly, the bacterium is a LAB-1 strain. The biofilm producing bacterium may be further defined as being capable of growth between about pH 4 and 11 and between about 15° and 45°C. The LAB-1 strain is capable of growth in minimal growth media, or may be grown in an aqueous nutrient  
10       medium that includes yeast, peptone and mineral salt ingredients. LAB-1 is a gram-negative, rod-shaped bacterium of about 0.2 X 0.8  $\mu$ m that secretes the exopolysaccharide described herein.

          In one embodiment of the present invention, the LAB-1  
15       strain is used in plugging a permeable subterranean stratum by providing LAB-1 bacteria in a nutrient-containing solution into the target stratum. The nutrient-containing solution is generally adapted to provide substantial and uniform growth conditions for the LAB-1. Sufficient biofilm is  
20       produced under these conditions to effectively plug the stratum. For example, the bacterium *in situ* can yield a saturated hydraulic conductivity equal to or less than 1.5 x

$10^{-5}$  cm/sec, equal to or less than  $1.0 \times 10^{-7}$  cm/sec or even equal to or less than  $1.5 \times 10^{-8}$  cm/sec.

Alternatively, the bacteria may be preincubated in culture in an aqueous suspension medium with agitation for  
5 an incubation period sufficient to initiate bacterial exopolysaccharide production before injection into the stratum. The method of plugging the subterranean stratum may also include draining nutrient deficient suspension medium from the reservoir, and recharging the reservoir with aqueous  
10 nutrient medium to maintain bacterial growth for an elapsed time period sufficient to establish a biofilm of prescribed saturated hydraulic conductivity. The draining and recharging steps with aqueous nutrient medium may be conducted at least once every 48 hours of elapsed time  
15 period. The step of pre-incubating the bacteria may be, e.g., for at least about 72 hours. These growth conditions permit for the establishment of a biofilm having a population between about  $10^5$  -  $10^{15}$  bacterial Colony Forming Units per square centimeter on a slide surface.

20 The biofilm may be used to plug open conduits, deposited in a subsurface biofilm cutoff wall, used to enhance the water retaining ability of subsurface liners or even for improving the water retention capabilities of compacted,

semi-compacted or loosened biofilm treated soil. When used in a liner, the biofilm may be deposited *in-situ*. The biofilm may also be used along with and/or to enhance environmental barriers by treating geotextiles with the  
5 biofilm.

Another important aspect of this polymer is its lack of antigenicity and toxicity in an animal system. This suggests several consumer/medical applications, including: (1) use a food additive or food thickening or filler agent; (2) use as  
10 plasma expander; (3) use in polymer industry; (4) use as chromatography matrix support for purification of chemicals; (5) use in scientific research as suspension solution instead of ficoll and the like; (6) use in determining the gene content of the organism, especially those coding for the  
15 biosynthesis of the exopolysaccharide polymer; (7) use of the polymer materials in the cosmetic field; (8) use to augment insect or animal diets; (9) use as an additive in tissue culture media; (10) for use as a semi-solid to solid matrix, e.g, gel electrophoresis; (11) for use as an additive in  
20 toothpaste, ointments, creams and lotions; (12) for mixing with dyes, stains, paints and varnishes; (13) for inclusion in dialysis; (14) for use in composite materials, e.g., bricks, tile, mortars; (15) for use as part of a sealant;

(16) viscosity modifier for oils, waxes & greases; (17) use as a filler, thickener or extender in pharmaceutical preparations; (18) use of the polymer in bioscaffolding applications, including wound-healing applications; and (19) use as a bacteriostatic (biostat) agent to inhibit or at least fail to support bacterial growth, and even possibly as a biocide.

In particular, a compound is needed for use as a plasma extender that serves to increase blood volume and that is impermeable at blood capillaries. The compound must not readily dissociate or be rapidly broken down by natural physiologic enzymes with time. Furthermore, the compound and its use as a plasma expander must not provide bacteria with an exogenous nutrient source, which may lead to accentuating already severe septicemia in patients that are infected by pathogens at the time of the injury that is causing hypovolemia.

More particularly, the present invention is an exopolysaccharide produced by the LAB-1 bacterial strain. The exopolysaccharide does not appear to easily support bacterial growth. This was determined by testing the ability of *E. coli* or *B. indica* to grow on the exopolysaccharide and no growth was observed. Further, the



exopolysaccharide is not antigenic as tested by injection into mice. Thus, the product appears to satisfy some of the basic parameters required for a plasma expander.

5 The exopolysaccharide is secreted into the cell culture medium and collected for use in, e.g., a plasma expander. When used as a plasma expander alone, or in combination with other elements, the exopolysaccharide will be provided in an isotonic solution. In one embodiment, a blood-free plasma expander and blood substitute for use in a subject in need  
10 thereof includes a single solution with at least two water soluble oncotic agents, one of which is a water soluble polysaccharide oncotic agent and one of which is serum albumin, wherein the exopolysaccharide consisting essentially of mannose, fucose, fructose and galactose, acidic fucose and  
15 amine containing glucose and fucose.

The plasma expander and blood substitute may have a ratio of water soluble exopolysaccharide oncotic agent to serum albumin between 1:1 and 1:2, weight to weight. The combined percentage of water-soluble exopolysaccharide  
20 oncotic agent and serum albumin in a solution of the plasma expander and blood substitute may be in the range of between about 4%-6% weight to volume.

The plasma expander and blood substitute may also include a number of cations, alone or in combination. For example, the cations may be provided in the following concentrations:  $\text{Na}^+$  at 110 to 120 mEq/l,  $\text{Ca}^{++}$  at about 5  
5 mEq/l,  $\text{K}^+$  at 0 to 3 mEq/l, and  $\text{Mg}^{++}$  at 0 to 0.9 mEq/l. These cations may be supplied as dissolved chloride salts. The plasma expander and blood substitute may also include at least one buffer, for example, a lactate and/or bicarbonate buffer. When buffered, the plasma expander will generally  
10 be a biological buffer having a buffering capacity in the pH range of about 6.8 to 7.8.

When used in hypovolemic patients, e.g., those that have lost a large volume of blood due to trauma, additional agents may be included in the plasma expander to aid in recovery.  
15 Such agents may include, Vitamin K in a concentration of about 1-4 mg/l, amylase, clotting factors, t-PA or even erythropoietin.

In non-medical uses, the exopolysaccharide of the present invention may be used as a chromatography matrix support for purification of chemicals. One such use will  
20 be as a suspension solution for use in centrifugation. The exopolysaccharide may even be used in solution as a suspension solution for use in size separation.

The present invention may also be used as a biologically stable, non-toxic material for use in coated plates for a number of biological and analytical uses. Examples of such uses include the coating of tissue culture plates for maintaining the growth, in vitro, of cells. Cells that may be grown on the surface of the exopolysaccharide include prokaryotic and eukaryotic cells. In an analytical setting, the exopolysaccharide disclosed herein may be used as a coating for instrumentation, such as biosensors, that require the maintenance of a biologically compatible environment.

Compositions containing propionic acid and ibuprofen when incorporated into liquid or solid growth media of the LAB-1 strain at a concentration range of 0.1-1.0 % (w/v) differentially inhibits its growth, development, cell attachment and biofilm production. Growth of the newly discovered LAB-1 strain as well as its production of mucoidal exopolysaccharide and biofilm may be inhibited or controlled by propionic acid, derivatives of propionic acid, compounds with related chemical structures or backbones such as 2-(4-isobutylphenyl)-propionic acid, otherwise known as ibuprofen, and solutions, mixtures, suspensions and other kinds of preparations comprising such compounds singly or in combination with other materials and compounds. It would be

apparent to one of ordinary skill in the art to apply the above methods to inhibit the production of mucoid compounds and biofilm in any mucoid organism.

5 A more complete appreciation of the present invention and the scope thereof can be obtained from the accompanying drawings which are briefly summarized below, the following detailed description of the presently-preferred embodiments of the invention, and the appended claims.

10 **BRIEF DESCRIPTION OF THE DRAWINGS**

A more complete understanding of the method and apparatus of the present invention may be obtained by reference to the following Detailed Description when taken in conjunction with the accompanying Drawings wherein:

15 FIGURE 1 is a photograph of Gram stained LAB-1 at 100X magnification.

FIGURE 2 is a Coomassie stained SDS-PAGE gel of the total protein content of LAB-1 and *B. indicia* grown on solid culture.

20 FIGURE 3 is a Coomassie stained SDS-PAGE gel of the total protein content of LAB-1 and *B. indicia* grown in liquid culture.

FIGURE 4 is the data from gas chromatography of fatty acids in LAB-1.

FIGURE 5 is a FACE gel showing the sugars identified in the exopolysaccharide produced by LAB-1. Lanes: 1 - MONO Ladder Standard 2 (100 pmol ea. monosaccharide); 2 - Amine hydrolysis reaction products; 3 [S] - MONO Ladder Standard 2 (100 pmol ea. monosaccharide; scanned for trace shown in [S] Scan); 4 - Neutral hydrolysis reaction products; 5 - Sialic acid hydrolysis reaction products; 6 - NANA labeling control 1 (100 pmol); 7 - MONO composition control; 8 - MONO Ladder Standard 2 (100 pmol ea. monosaccharide).

FIGURE 6 is a MALDI trace of the exopolysaccharide produced by LAB-1.

FIGURE 7 is a drawing of a cross sectional view of a barrier created with the biofilm of the present invention.

FIGURE 8 is a graph of the hydraulic conductivity versus time of a LAB-1 containing biofilm.

## DETAILED DESCRIPTION OF THE PRESENTLY PREFERRED EXEMPLARY EMBODIMENTS

The present invention will now be described more fully hereinafter with reference to the accompanying drawings, in which preferred embodiments of the invention are shown. This

invention may, however, be embodied in many different forms and should not be construed as limited to the embodiments set forth herein; rather, these embodiments are provided so that this disclosure will be thorough and complete, and will fully convey the scope of the invention to those skilled in the art.

**EXAMPLE 1 CHARACTERIZATION OF LAB-1 ORGANISM AND EXOPOLYSACCHARIDE**

Samples of LAB-1 have been deposited under ATCC No. PTA-2500. LAB-1 is a Gram-negative, rod-shaped bacterium isolated by the present inventor from constructed soil samples in the state of Wyoming. The soil sample was constructed by J. Turner at the University Wyoming and contained a contaminant in a background of a *Beijerinckia indica*. The contaminant, LAB-1, was isolated and studied because of its excessive slime production.

A variety of biochemical tests were performed in order to identify the species and the genus of organism. The tests included: assessment of growth conditions, culture appearance, cell appearance and staining characteristics, optimal temperature and pH growth range, oxygen requirements, antibiotic sensitivity testing, tests for catalase and oxidase (using TAXOS N<sup>TM</sup> disks), amino acid utilization as

carbon source, nitrogen fixation, motility test, and a variety of commercially available tests from MICRO-ID™, OXYFERM™, ENTEROTUBE™ and BIOLOG GN MICROPLATE™.

Because the organism was a contaminant in soil amended  
5 with a *B. indica* culture, *B. indica* and the LAB-1 organism were grown on plates and total protein profiles were compared by SDS-PAGE. Fatty acid analysis was performed by Microbial ID (MIDI) of Newark, DE who used the high resolution MIDI SHERLOCK SYSTEM™ to identify fatty acids with high resolution  
10 gas chromatography. The same laboratory also sequenced the 16S gene and compared it against the proprietary MICROSEQ™ database (PE APPLIED BIOSYSTEM™).

The exopolysaccharide was further analyzed by GLYKO™ (NOVATO™, CA) fluorophore-assisted carbohydrate  
15 electrophoresis (FACE), and matrix-assisted laser desorption/ionization mass spectrometry (MALDI). Immunogenicity of the exopolysaccharide was also tested in mice.

Colonies of LAB-1 on solid medium were irregular with  
20 an undulate edge. They exhibited convex elevation, a smooth glistening surface, were white in color and translucent to opaque. The consistency of the colonies was that of a very tenacious and elastic slime. The LAB-1 exopolysaccharide

exhibits tremendous tenacity, extending without breakage when pulled with a glass rod over a foot. Due to the production of this exopolysaccharide, it was found difficult to lift the colonies from agar plates.

5 AB13 culture medium may be used to grow the LAB-1 strain and is made as follows: Per liter of water add 20 g glucose, 1 g NaCl, 1 g yeast extract or 2 g  $\text{NaNO}_3$ , 8 g  $\text{K}_2\text{HPO}_4$ , 0.2 g  $\text{KH}_2\text{PO}_4$ , 0.5 g  $\text{MgSO}_4$ , and 150  $\mu\text{l}$  5%  $\text{FeCl}_2$ . To make solid medium, 15 g of agar are added to the medium. This  
10 simplified end medium makes large-scale production of the polysaccharide polymer affordable.

Growth in liquid AB13 medium was perfuse and the turbidity was dense and uniform. When the LAB-1 bacterium is grown in liquid medium, the entire solution becomes very  
15 viscous with a consistency ranging from that of heavy corn syrup to that of egg whites, depending on the stage of growth. Cultures grown without shaking showed a flocculent deposit along with smooth surface growth. The cultures had a very distinct odor, somewhat sweet smelling, but not  
20 pleasant.

The bacteria were bacilliary with rounded ends and parallel sides and were determined to be Gram negative. There were some irregularities observed in the cell



population in cell size, due mainly to length differences,  
but the average size was about 0.2 X 0.8  $\mu$ m. The  
arrangement of cell packets seemed to be irregular, although  
a large percentage of cells were aligned side-to-side. The  
5 clumping of cells was believed to be due to the tenacious  
slime layer. The exopolysaccharide could be seen in Gram  
staining as a light cloud surrounding the cells. FIGURE 1  
shows the Gram stained cells at 100X. The spore stain showed  
only red vegetative cells, no spores were observed. The  
10 capsule stain showed no capsules, but rather an indefinite  
exopolysaccharide surrounding the cells. Observation of the  
stab culture showed that the organism was motile.

Colony morphology on plates grown at 26°, 30°, 37°C and  
45°C was the same, although growth was optimal at 37°C.  
15 Elasticity of the slime layer was also unchanged. Growth was  
unchanged in liquid AB13 cultures ranging from pH 4, 9 and  
11. Growth was not observed at pH 2. Colony morphology on  
plates was also unchanged over this pH range. The LAB-1  
organism was determined to be a facultative anaerobe.

20 Various biochemical test results are summarized in the  
following tables:

Table 1. Morphology of LAB-1

Morphological Characteristics	Results
Gram reaction	negative
Cell Shape	bacilli
Spores	none
Growth temp.	26-37°C
pH range	4-11
Growth in peptone	+
Motility	+

Table 2. Antibiotic Profile of LAB-1

Antibiotic Susceptibility	Results
Amikacin	sensitiv e
Ampicillin	resistan t
Erythromycin	resistan t
Neomycin	sensitiv e
Novobiocin	sensitiv e
Penicillin	resistan t
Polymyxin B	sensitiv e
Streptomycin	resistan t
Taxos A	resistan t
Tetracycline	sensitiv e

Table 3. Biochemistry of LAB-1

	Biochemical Properties	Results
5	Adonitol fermentation	+
	Ammonification	+
	Arabinose fermentation	+
	Arginine dihydrolase	+
	Beta-galactosidase	-
10	Catalase	+
	Citrate utilization	+
	Cytochrome oxidase	-
	Esculin hydrolysis	-
	Glucose fermentation	+
15	Aerobic glucose	+
	Anaerobic glucose	+
	Hydrogen sulfide	-
	Indole (tryptophanase)	-
	Inositol fermentation	+
20	Lactose fermentation	+
	Lysine decarboxylase	-
	Malonate utilization	-
	Maltose oxidation	+
	Mannitol oxidation	+
25	N <sub>2</sub> gas production	-
	Nitrate reductase	-
	Nitrogen fixation	-
	Ornithine decarboxylase	-
	Phenylalanine deaminase	-
30	Sorbitol fermentation	+
	Sucrose oxidation	-
	Urease	+
	VP	+
	Xylose oxidation	+

Table 4. Carbon Utilization of LAB-1

	Carbon Utilization	Resu lt	Carbon Utilization	Resu lt
5	A-cyclodextrin	+	Itaconic acid	-
	Dextrin	+	A-keto-butyric acid	-
	Glycogen	+	A-keto-glutaric acid	-
	Tween 40	+	A-keto-valeric acid	-
	Tween 80	+	D, L-lactic acid	+
10	N-acetyl galactosamine	+	Malonic acid	+
	N-acetyl-D-glucosamine	+	Propionic acid	-
	Adonitol	+	Quinic acid	+
	L-arabinose	+	D-saccharic acid	+
	D-arabitol	+	Sebacic acid	-
15	D-cellobiose	+	Succinic acid	+
	I-erythritol	-	Bromo Succinic acid	+
	D-fructose	+	Succinamic acid	+/-
	L-fucose	+	Glucuronamide	+
	D-galactose	+	Alaninamide	+/-
20	Gentiobiose	+/-	D-alanine	+
	A-D-glucose	+/-	L-alanine	+
	M-inositol	+	L-alanyl-glycine	+
	A-D-lactose	+	L-asparagine	+
	Lactulose	+	L-aspartic acid	+
25	Maltose	+	L-glutamic acid	+
	D-mannitol	+/-	Glycyl-L-aspartic acid	+
	D-mannose	+	Glycyl-L-glutamic acid	+
	D-melibiose	+	L-histidine	+
	B-methyl-d-glucoside	+	Hydroxy-L-proline	+
30	D-psicose	+	L-leucine	-
	D-raffinose	+	L-ornithine	-
	L-rhamnose	+	L-phenylalanine	-
	D-sorbitol	+	L-proline	+
	Sucrose	+	L-pyroglutamic acid	-
35	D-trehalose	+	D-serine	+
	Turanose	+	L-serine	+
	Xylitol	+	L-threonine	-
	Methyl pyruvate	+	Carnitine	-
	Methyl succinate	+	G-amino Butyric acid	+
40	Acetic acid	+	Urocanic acid	-
	Cis-aconitic acid	+	Inosine	+

	Citric acid	+	Uridine	-
	Formic acid	+	Thymidine	+
	D-galactonic acid	+	Phenylethylamine	-
	lactone			
5	D-galacturonic acid	+	Putrescine	-
	D-gluconic acid	+	2-amino ethanol	-
	D-glucosaminic acid	+	2,3-butanediol	-
	D-glucuronic acid	+	Glycerol	+
	A-hydroxybutyric acid	-	D,L-A- glycerol	+
			phosphate	
10	B-hydroxybutyric acid	+	Glucose-1-phosphate	+
	G-hydroxybutyric acid	-	Glucose-6-phosphate	+
	P-hydroxphenyl acetic	+		
	acid			

15           Bacteriological information was compiled into the chart shown in Tables 1 through 4. This information was used to search the 9<sup>th</sup> edition (1994) of *Bergey's Manual of Determinative Bacteriology*. The Bergey's profiles of all Gram negative facultative anaerobic bacilli were researched

20           in an attempt to find potential matches. Special attention was paid to members of the genus *Klebsiella* because this was the closest match provided by BIOLOG<sup>TM</sup>, and, to the genus *Beijerinckia* because LAB-1 was isolated as a contaminant amongst a lawn of *Beijerinckia* colonies.

25           Although the information contained in Tables 1-4 was considered valuable, this particular method of exploration was quickly proven to be inconclusive. No exact matches

were identified, and, the partial matches found were too numerous to consider them all. Therefore, other more concrete methods of identification were utilized.

Because it was entirely possible that LAB-1 could have  
5 been a *Beijerinckia* species, protein profiles of each organism were compared. For the gel in FIGURE 2, the colonies of each organism were harvested from AB13 plates and sheared by vortexing with glass beads for five minutes. One volume of running buffer and one volume of bromophenol  
10 blue tracking dye was added to the lysate, and the result boiled for five minutes. After a brief spin, the samples were overlaid twice with powdered sucrose to remove cell debris and 100  $\mu$ l were loaded onto a 4.5% stacking, 10% resolving polyacrylamide gel and run at 30-35 mAmp.

15 Samples used for FIGURE 3 were grown in liquid AB13 culture. One ml was harvested by pelleting for five minutes, washed with 1% NaCl and again with dH<sub>2</sub>O. Pellets were resuspended in 180  $\mu$ l of 50 mM Tris, and 10 mM EDTA, pH 8.0. Sixty  $\mu$ l of PSS (4X PSS is 700  $\mu$ l of 1 M Tris-HCl, pH  
20 6.8, 4.3 g sucrose, 4 mg bromophenol blue, 1.45  $\mu$ l of 20% SDS, 44  $\mu$ l of 0.5 M EDTA, 10 mg DTT and dH<sub>2</sub>O to 10 ml) were added to the cells and the mixture was boiled for five

minutes. Samples were overlaid with powdered sucrose to remove cell debris and run as above.

The Coomassie blue stained SDS-PAGE gels shown in FIGURES 2 and 3 clearly demonstrate that there are  
5 dissimilarities between the two organisms. It was concluded that the unknown organism LAB-1 was not a *Beijerinckia* species.

Fatty acid analysis by gas chromatography by MIDI LABS™, Newark, DE, was employed to identify the organism.  
10 The growth conditions were standardized, the MIDI SHERLOCK SYSTEM™ was fully automated, and the data compared against proprietary databases containing the fatty acid profiles of more than 1,900 bacteria. The results are shown in FIGURE 4. The best match, *Kluyvera cryocrescens*, had a similarity  
15 of 0.835. This match would have been considered very good if there had been a separation of at least 0.100 between this first choice and the second choice, *Enterobacter taylorae*, with a similarity of 0.753.

Using these two species, reference was made to the 9<sup>th</sup>  
20 edition (1994) of *Bergey's Manual of Determinative Bacteriology* to compare their characteristics with those listed in Tables 1-4. Also compared was the third choice, *Kluyvera ascorbata*. There were many key differences in the

biochemical profiles of LAB-1 and each of the three matches.  
The properties found to be different amongst these four  
organisms are shown in Table 5. This information, along  
with the fatty acid analysis results, led to the conclusion  
5 that the organism was not a member of the genus *Kluyvera*.

**Table 5 Differences between LAB-1 and *K. cryocrescens*, *K. ascorbata*, *E. Taylorae***

	LAB-1	<i>K. cryo.</i>	<i>K.</i> <i>ascorb.</i>	<i>E.</i> <i>Taylorae</i>
<del>Adonitol</del> fermentation	+	-	-	-
Arginine dihydrolase	+	-	-	+
Esculin hydrolysis	-	+	+	+
<del>Indole</del> (tryptophanase)	-	+	+	-
Inositol fermentation	+	-	-	-
Lactose <del>fermentation</del>	+	+	+	-
Lysine decarboxylase	-	-	+	-
Melibiose fermentation	+	+	+	-
<del>MR</del>	-	+	+	-
VP	+	-	-	+
Nitrate reductase	-	+	+	+



Ornithine	-	+	+	+
decarboxylase				
Raffinose	+	+	+	-
fermentation				
Sorbitol	+	+	+	-
fermentation				
Urease	+	-	-	-

The next logical step was to determine the 16S rRNA  
10 gene sequence. This technique currently is the method of  
choice for identification purposes. The gene sequence is  
shown in SEQ ID NO: 1. The identification based on the 16S  
rRNA gene sequence was determined to be *Leclercia*  
*adecarboxylata*. The difference in sequence homology between  
15 LAB-1 and *L. adecarboxylata* was only 0.59%. Stackebrandt &  
Goebel, INT'L J. SYSTEM. BACTERIOL. **44**: 846 (1994) would  
consider this a species level match, however the confidence  
limits of the data obtained by MIDI LABS™ allowed  
identification only at the genus level. When the  
20 biochemical characteristics of LAB-1 and of *L.*  
*adecarboxylata* (9<sup>th</sup> edition Bergey's Manual of Determinative  
Bacteriology 1994) are compared, there are yet again,  
numerous differences. The differences led to the questions  
regarding this method.

**Table 6 Differences between LAB-1 and *L. adecarboxylata***

		LAB-1	L.  adecarb.
5	Arginine dihydrolase	+	-
	Citrate utilization	+	-
	Esculin hydrolysis	-	+
	Glycerol utilization	+	-
	Indole (tryptophanase)	-	+
10	Inositol fermentation	+	-
	Malonate utilization	-	+
	MR	-	+
	VP	+	-
	Nitrate reductase	-	+
15	Sorbitol fermentation	+	-
	Urease	+	-

Because the same laboratory (MIDI LABS™) produced two different identifications, the validity of both identities was suspect. However, 16S rRNA gene sequencing is considered to be the most reliable method currently available, and, therefore, the identification of *Leclercia adecarboxylata* must be further investigated. With all of the discrepancies that have been encountered, it is reasonable to conclude that this unknown organism (LAB-1) has not been previously identified.

Analysis of the exopolysaccharide produced by LAB-1 was by FACE (sugar content) and MALDI (size). Judging from the intensity of the bands shown on the FACE gel in FIGURE 5,

the amount of fucose present is approximately twice that of galactose; the amount of glucose is approximately 2.5 times that of galactose; and, the amount of mannose is approximately 3 times that of fucose. Therefore, the ratio of galactose:fucose:glucose:mannose is approximately 1:2:3:6. Further investigations regarding the nature and type of linkages and molecular weight determination of the polymer may be undertaken, as will be known to those of skill in the art.

Results of the MALDI study of the exopolysaccharide are shown in FIGURE 6. The data indicates that polymerization and depolymerization of the polysaccharide occurred readily as evidenced by the large range of molecular weights found. All monosaccharides identified were neutral sugars that migrate at the same rate as: mannose, fucose, fructose and galactose, acidic sugars that migrate at the same rate as fucose and amine sugars that migrate at the same rate as glucose and fucose. All are six-carbon sugars. This fact makes it impossible to determine the composition of the polymer when only the molecular weight is known. Those substances with molecular weights below 180 are likely breakdown products of the polymer. The largest polymer,

molecular weight of 1066.38, was comprised of approximately six 6C sugars.

It was later discovered that the initial exopolysaccharide sample analyzed for immunogenicity in mice contained residual amino acids. The small amount of protein present was from the yeast extract in the media and from dead cells. Even with these amino acids present, it was determined that the exopolysaccharide was non-immunogenic, although a very small immunogenic reaction was observed. This reaction made it necessary to further purify the exopolysaccharide so that it was protein-free.

It was determined that the best way to free the exopolysaccharide of amino acids was to (i) modify the medium to contain no amino acids and (ii) limit growth of the organism to prevent cell death and breakdown. After implementing these two modifications, the exopolysaccharide was found to be free of protein (not shown). The protein-free exopolysaccharide was provided to WASHINGTON BIOTECHNOLOGY™ [St Louis, MO] to perform more in-depth studies to determine immunogenic properties. The results were gratifying and the exopolysaccharide immunized rabbits maintained antibody titers of less than 1:100, or non-detectable, for the entire 12 week experiment. In contrast, the inactivated cell-

immunized rabbits reached antibody titers as high as  
1:1,638,400. These results are very encouraging because a  
non-immunogenic biopolymer with tremendous elasticity such  
as the one characterized in this study probably has numerous  
5 industrial, agricultural and biomedical applications.

Much information has been gathered about LAB-1.  
Unfortunately, its complete identity remains indeterminate.  
Reliable identification methods have been employed but the  
results do not agree with each other. It is highly likely  
10 that this organism has not been previously identified.

More research is warranted before a definitive  
identification can be made. Further studies should include  
direct comparison of LAB-1 with *Klebsiella*, *Kluyvera*  
*cryocrescens* and *Leclercia adecarboxylata*. Methods  
15 important to compare these organisms include protein profile  
determinations and DNA analysis. Results obtained from  
these approaches will provide good evidence of any  
phylogenetic relationships.

To further characterize the exopolysaccharide, the  
20 monosaccharide linkages and branching of the polysaccharides  
should be determined. Also, it would be very useful to  
determine the nature of its overall polymerization. The  
localization of the gene(s) coding for the polysaccharides

may be determined, as will be known to those of skill in the art of molecular biology. Even further studies may be conducted to identify LAB-1, and may include: chromosomal DNA fingerprinting, random primer PCR profiling, rRNA or  
5 other gene sequencing, determination of the G+C % content, lipid analysis and BIOLOG™ analysis (a more comprehensive biochemical analysis). Also, detailed studies regarding the chemistry of the polysaccharide will be completed.

10 **EXAMPLE 2 APPLICATIONS TO ENGINEERED WASTE CONTAINMENT AND TREATMENT**

The LAB-1 strain may be used to construct environmental biofilm barriers for containment and treatment of contaminated soil and groundwater. The purpose of containment barriers is to control the transport of chemical  
15 contaminants from waste disposal facilities or from areas which have become contaminated by spills, industrial processes, illegal dumping or other sources. Several different types of barriers are possible, including the following: (1) subsurface biofilm cutoff wall;  
20 (2) subsurface liners consisting of compacted, biofilm treated soil; (3) *in-situ* biofilm liners; and (4) barriers made by treating geotextiles with biofilm.

The results disclosed herein demonstrate that soil hydraulic conductivity (k) may be reduced by several orders of magnitude by the addition of the biofilm-producing bacterium disclosed herein. The reductions of k obtained using the LAB-1 strain are sufficient to meet Environmental Protection Agency (EPA) criteria for barrier materials, defined as a k value of  $10^{-7}$  cm/sec or less. The low hydraulic conductivity persists when the soil is permeated with a variety of chemical solutions, suggesting that a biofilm barrier may be compatible with a wide range of contaminants. The biofilm disclosed herein may also be useful for controlling contaminant transport mechanisms, such as diffusion, adsorption and biodegradation.

Solutions of biofilm and nutrient are pumped into the subsurface through a series of closely-spaced vertical wells. Formation of biofilm in the soil around the wells causes a decrease in soil permeability and decrease in contaminant transport sufficient to form an engineered barrier to contaminant migration. Specific design parameters such as well depth and spacing, pumping pressures, composition of bacterial and nutrient solutions, and time of pumping, are site-specific and depend upon site geology, type and extent of subsurface contamination, ground

water conditions, and other variables which must be considered on a case-by-case basis.

FIGURE 7 shows one use of the present invention for the formation of subsurface liners for the containment of wastes in engineered disposal facilities, such as landfills. A landfill 10 is depicted in cross-sectional view. Waste 12 is disposed within a subsurface liner 14. If the liner 14 is being placed during the creation of the landfill 10, a biofilm liner may be used prior to deposition of the liner 14. In addition, a containment wall may be erected that surrounds the waste site, and additional layers of decontaminating biofilm barriers may be included.

In preexisting landfills, such as the one depicted in FIGURE 7, waste may leach in the form of a leachate 16 into subsurface strata 18 and 20. A biofilm barrier wall 28 is created that surrounds the waste 12 and captures the leachate 16. The biofilm barrier wall 28 is constructed so as to reach into strata 22, 24 into which the waste 12 does not leach. One advantage of the biofilm of the present invention is that it permits such remedial application to existing landfills that may be leaking and even prevents leachate 16 from reaching a subterranean water layer 26.



Current technology for a biofilm barrier wall 28, for example, may employ fine-grained soils that are field compacted to achieve a hydraulic conductivity of less than  $10^{-7}$  cm/sec (commonly referred to as "clay liners"). At many sites, such soil is not readily available and must be transported from off-site, increasing substantially the cost of compacted soil liners. Using the LAB-1 strain of the present invention soil, containment conditions may be met by treating readily available soils with the biofilm in order to achieve the low hydraulic conductivity required for compacted soil liners.

One specific field of use for the LAB-1 biofilms is creating subsurface biofilm liners that include spreading untreated soil in loose (uncompacted) lifts using conventional soil spreading equipment (e.g., bulldozers). Loose lifts will generally be 150 to 225 cm thick. A solution that includes water, LAB-1, and nutrients are applied to the soil, using, e.g., conventional equipment used to apply water to soil (e.g., a truck-mounted water tank with sprinkler hoses). The soil is then compacted using conventional equipment (e.g., sheeps foot rollers) to achieve the specified density, typically resulting in a compacted lift thickness of 100 to 150 cm. The required

number of lifts and liner total thickness are site-specific design parameters which are determined by analysis of contaminant transport and regulatory requirements for containment.

5           Compacted clay liners typically range from 0.6 to 1.3 meters thick. The proposed procedure is similar to field construction of clay liners, except that the soil is treated with a biofilm-producing solution. Alternatively, solutions of strain LAB-1 and nutrients are injected into the ground  
10       at a specified depth to create *in situ* biofilm liners. This type of liner is particularly useful at sites contaminated by accidental spills. Alternatively, previously grown biofilm may be mixed directly into or onto the soil.

          Subsurface liners may also be constructed by treating  
15       geotextiles with biofilm. Geotextiles are generally made of synthetic fibers that are either woven or matted together, yielding a porous fabric that is used for soil separation, reinforcement, filtration or drainage. Containment barriers can be created by spraying bitumen, rubber-bitumen or other  
20       polymeric mixtures into a properly deployed geotextile that contains the LAB-1 produced biofilm disclosed herein. One particular example for use of the LAB-1 biofilm is in the application of a liquid solution containing strain LAB-1 and

nutrients to geotextiles to clog the pore spaces and reduce permeability, creating a barrier to flow.

**EXAMPLE 3 MATERIALS: SOIL AND BACTERIA**

5           Soil used by the present inventors to analyze waste  
containment capability is a naturally occurring, easily  
attainable sand. Based on its grain size distribution and  
Atterberg limits, this soil is classified as SM, or silty  
sand of low plasticity, in the Unified Soil Classification  
10       System. Permeability tests yield a saturated hydraulic  
conductivity (k) of approximately  $1.5 \times 10^{-5}$  cm/sec when  
compacted to maximum dry density. This value of k would  
make the soil unsuitable for use as a waste containment  
barrier. Initial studies indicated that k could be reduced  
15       to values on the order of  $10^{-8}$  to  $10^{-7}$  cm/sec, which is in the  
range required for waste containment, by treating this soil  
with the biofilm-producing bacterial strain LAB-1.

          The operational procedure for use of the LAB-1  
bacterium to form a biofilm that may be used to test water  
20       permeability may include the following steps: (1) compacting  
soil into a cylindrical specimen which is placed in a  
flexible wall permeameter, (2) permeating the specimen with  
a solution containing LAB-1, and (3) measuring the soil

hydraulic conductivity while the specimen is permeated first with nutrient solution, then by water, as is taught by Dennis M.L. and Turner, J.P. J. GEOTECHNICAL & GEOENVIRONMENTAL ENG. **124**: 120-127 (1988) (in which a similar procedure was  
5 used with the bacterium *B. indica*).

Using the biofilm produced by the LAB-1 strain disclosed herein, hydraulic conductivity was reduced from  $k = 1.5 \times 10^{-5}$  to approximately  $k = 5 \times 10^{-8}$  cm/sec upon establishment of a plugging biofilm, which required  
10 permeation with nutrient solution for approximately one week. Most of this decrease occurred within 1 to 2 days, during which the  $k$  was reduced to less than  $10^{-7}$  cm/sec.

FIGURE 8 is a graph that shows hydraulic conductivity versus time for a specimen treated with LAB-1. The low  
15 hydraulic conductivity persisted for over 160 days, even though the nutrient solution was discontinued after 6 days.

#### **EXAMPLE 4 APPLICATIONS TO AGRICULTURE**

Large areas of the earth include desert lands that are  
20 not arable without large-scale reclamation. Reclamation in the context of desert lands requires not only irrigation, but extensive soil modification. The economical and social impact of successfully converting non-productive desert land

into productive agricultural land is enormous and provides significant benefits to mankind.

5 The use of LAB-1 as a biologically and environmentally sound source of support and nutrients for soil treatment improves the agricultural properties of sandy soils as described herein. Many naturally existing desert soils are aeolian (wind-deposited) and consist of sand and silt sized particles with little or no organic content. Such soils are considered poor for agricultural development because they  
10 are highly porous, which promotes rapid infiltration and seepage of irrigation water away from the surface where it is most needed for crops. Lack of organic material generally corresponds to low nutrient content. Many desert areas are active aeolian environments in which wind is the  
15 dominant agent of sediment transport.

Agricultural development is severely impacted when topsoil is eroded and transported by wind. Considering the characteristics of sandy desert soils versus the requirements of soils for agriculture, the present invention  
20 includes the use of the LAB-1 derived biofilm for the treatment of agricultural soils to improve the following soil agricultural properties: (1) improved water retention characteristics; (2) enhanced ability to establish and

support plant growth; and (3) improved erosion resistance. These improvements may be obtained by adding complete or dried and pulverized biofilm, or by the application of LAB-1 strain in bacterial/nutrient solutions using conventional  
5 soil watering equipment (e.g., a truck-mounted tank with sprinkler hoses or conventional irrigation systems).

The biofilm of the present invention has been used for the treatment of soil. The biofilm altered the soil's properties in many ways that enhanced the soil's ability to  
10 support agriculture. These include the following: (1) an improved ability of sand to retain moisture; (2) an increased biomass in the form of polysaccharides that function as a nutrient supply for plant growth; (3) improved soil cohesion; and (4) increased resistance of soil to  
15 erosion.

#### **EXAMPLE 5 MEDICAL APPLICATIONS**

The biofilm of bacterium LAB-1 possesses a number of characteristics that are of potential commercial application  
20 in medical devices and treatment, including its low antigenicity, non-toxicity, and its biodegradable nature. For these consumer applications, the exopolysaccharide may be purified, e.g., by the addition of concentrated NaOH to

the cell culture at a final concentration of 0.2 M, followed by the addition of 3 volumes of ethanol to precipitate the polymer and other materials. The precipitate is collected and redissolved in half the original volume of water.

5 Protein is removed by either extracting twice with phenol or by ultra-filtration. The aqueous phase is dialyzed, lyophilized and ground to yield a fine white powder as will be known to those skilled in the art.

10 As the exopolysaccharide is not cell-bound, the exopolysaccharide produced by LAB-1 may, alternatively, be purified without using the alkali treatment or the phenol extraction. Not only is the purification process thereby simplified, it may prevent the removal of alkali-labile acetyl moieties from the purified LAB-1 exopolysaccharide.

15 Total carbohydrate concentration in culture broths and polymer solutions may be determined by the phenol reaction, described by Gerhardt in Manual of Methods for General Bacteriol. (Amer. Soc. Microbiol., Washington, DC, 1991). Glucose, galactose and xanthan gum (SIGMA CHEMICAL Co.<sup>TM</sup>, St. Louis, MO) may be used as standards. Total protein  
20 concentration in culture broths and polymer solutions may be determined using the BIO-RAD<sup>TM</sup> protein assay (BIO-RAD LABORATORIES<sup>TM</sup>, Richmond, CA). Lysozyme may be used as the

standard. Cellular protein may be released by boiling the cells in 0.2 M NaOH.

Purified polysaccharide may be further hydrolyzed in 1 M trifluoroacetic acid at 120°C for times varying between  
5 30 minutes and 2 hours. Monosaccharides in the polysaccharide hydrolysate may be separated using, e.g., a WATERS™ HPLC equipped with a BROWNLEE™ polypore PB, lead loaded cation exchange column, operated at 85°C, with water as the eluent. Detection may be performed by refractive  
10 index using, e.g., a WATERS™ Model 401 Differential Refractometer.

The polysaccharide may be further characterized by proton NMR spectroscopy and infrared spectroscopy. Infrared analysis, along with the monosaccharide composition data,  
15 may be compared to the composition and IR scans of polysaccharides from mutant or genetically manipulated strains to detect changes in structure.

Being either non- or weakly-immunogenic, the biofilm of the present invention may be used as a vaccine adjuvant or  
20 carrier that provides a reservoir for antigens. An adjuvant may be prepared using the LAB-1 derived biofilm of the present invention. Adjuvants may be synthesized by any one of a number of established methods, as has been described by



M. Bodansky, et al., "Peptide Synthesis," second edition, Wiley, New York 1976 and R. W. Roeske, Peptides (N.Y.) 3, 102 (1981).

5 A particularly useful method is the methanesulfonic acid catalyzed esterification procedure described by C. Penney, et al., J. Organic Chemistry 50, 1457-1459 (1985). During the preparation of an adjuvant, it may be desirable to temporarily protect reactive functional groups. For example, amines may be protected by urethane-type  
10 groups, alcohols by t-butyl or benzyl groups, and acids by ester groups.

The adjuvant may be purified by any of the techniques described previously. One such purification technique is silica gel chromatography, in particular the "flash" (rapid)  
15 chromatographic technique, as described by W. Clark Still, et al., J. Organic Chemistry, 43, 2923-2925 (1978). Other chromatographic methods, however, including HPLC, may be used for purification of the adjuvant. Crystallization may also be used to purify the adjuvant. In some cases, no  
20 purification is required as a product of analytical purity is obtained directly from the synthesis. Methods of formulating the adjuvant into vaccine preparations are well known in the art, and not detailed herein.

As the base bacterium of the present invention does not appear to be a human pathogen, and its exopolysaccharide is not toxic or antigenic and does not readily support bacterial growth, the exopolysaccharide provides an ideal source for oncotic plasma expanders. Furthermore, the exopolysaccharide's lack of intrinsic antigenicity makes it an ideal candidate for use in, e.g., all mammals. Thus, the present invention includes a mixture of components that, when placed in aqueous solution, may be used to expand the plasma volume of a subject in need thereof.

For purposes of the further description of the invention, the mixture of plasma expanding components according to the invention will be discussed as an aqueous solution. From the following description of the invention it is expected that one ordinarily skilled in the art would be enabled to provide the mixture as a dry mixture and make the adjustments to amounts of sodium chloride, fluid and/or dextrose, etc. as necessary.

The exopolysaccharide oncotic agents of the foregoing mixture of components are ones that are generally water semi-soluble. By water semi-soluble oncotic agent is meant partially-water soluble molecules that when dissolved in the fluid phase of circulating plasma in a living subject are of

a size sufficient to prevent their immediate loss from the circulation by traversing the fenestration of the capillary bed into the interstitial spaces of the tissues of the body. The term polysaccharide oncotic agent thus does not include  
5 such polysaccharides as chitin, because chitin is not soluble in water.

The plasma expander is made by solubilizing the purified exopolysaccharide in a biocompatible fluid. Plasma expander solutions according to the invention may  
10 also contain additional ingredients, including but not limited to, sodium ions (110-120 mEq/l), calcium ions (5 mEq/l), potassium ions (0-3 mEq/l), magnesium ions (0-0.9 mEq/l), and vitamin K (0-10 mg per subject or 0-3 mg/l). Further, the expander may be buffered to about pH 7.4 and  
15 may provide assimilable sugar (e.g., dextrose or glucose at 5-10 mM). Preferably, the expander is provided as a sterile solution, but it may also be provided as a powder that can be reconstituted in a sterile manner, or sterilized after reconstitution.

20 While this invention has been described in reference to illustrative embodiments, this description is not intended to be construed in a limiting sense. Various modifications and combinations of the illustrative embodiments, as well as

[illegible]

5

**WHAT IS CLAIMED IS:**

1           1.    An isolated bacterium as deposited as ATCC No.  
2    PTA-2500.

1           2.    An isolated bacterium comprising the following  
2    characteristics: Gram negative, bacilliary, about 0.2X0.8  
3    μm, facultative anaerobe, grows between 15°and 45°C with a  
4    temperature optimum of 37°C, grows between pH 4-11 but not  
5    at pH 2, grows in AB13 medium or minimal medium, is motile,  
6    lacks a capsule, lacks spores, and produces an elastic,  
7    exopolysaccharide with a sugar content of galactose, fucose,  
8    glucose, mannose in a ratio of about 1:2:3:6.

1           3.    The isolated bacterium of claim 2, further  
2    comprising the characteristics of an antibiotic sensitivity  
3    profile as in Table 2, a biochemistry profile as in Table 3,  
4    and a carbon utilization profile as in Table 4.

1           4.    The isolated bacterium of claim 3, further  
2    comprising , the total protein SDS-PAGE profile of the LAB-1  
3    strain of FIGURE 2 and FIGURE 3.

1           5.    The isolated bacterium of claim 4, further  
2    comprising the characteristics of a 16S rRNA gene of SEQ ID  
3    NO: 1.

1           6.    An isolated bacterium comprising the 16S rRNA gene  
2    of SEQ ID NO: 1.

1           7.    An isolated bacterium that produces an  
2    exopolysaccharide consisting essentially of neutral sugars  
3    migrating at the same rate as mannose, fucose, fructose and  
4    galactose, acidic sugars migrating at the same rate as  
5    fucose and amine sugars migrating at the same rate as  
6    glucose and fucose, wherein the sugar ratio of  
7    galactose:fucose:glucose:mannose is about 1:2:3:6.

1           8.    The isolated bacterium of claim 7, further  
2    comprising the 16S rRNA gene of SEQ ID NO: 1.

1           9.    An exopolysaccharide consisting essentially of  
2    neutral sugars migrating at the same rate as mannose,  
3    fucose, fructose and galactose, acidic sugars migrating at  
4    the same rate as fucose and amine sugars migrating at the

5 same rate as glucose and fucose, wherein the sugar ratio of  
6 galactose:fucose:glucose:mannose is about 1:2:3:6.

1 10. An exopolysaccharide produced by the LAB-1 strain  
2 at ATCC No. PTA-2500.

1 11. An exopolysaccharide produced by the bacterium of  
2 claims 1-8 .

1 12. The exopolysaccharide of claim 11, for use as a  
2 nutrient supply for plant or animal growth.

1 13. The exopolysaccharide of claim 11, wherein the  
2 exopolysaccharide is purified and used as a food or drug  
3 additive.

1 14. The exopolysaccharide of claim 11, wherein the  
2 exopolysaccharide is purified and used as a plasma extender.

1 15. The exopolysaccharide of claim 11, for a use  
2 selected from the group consisting of viscosity modifier,  
3 adhesive, filler, extender, expander, and biostat.

1           16. A biofilm, comprising an exopolysaccharide  
2           consisting essentially of neutral sugars migrating at the  
3           same rate as mannose, fucose, fructose and galactose, acidic  
4           sugars migrating at the same rate as fucose and amine sugars  
5           migrating at the same rate as glucose and fucose, wherein  
6           the sugar ratio of galactose:fucose:glucose:mannose is about  
7           1:2:3:6.

1           17. The biofilm of claim 16, which is produced by the  
2           bacterium of claims 1-8.

1           18. A plasma expander comprising a purified  
2           exopolysaccharide consisting essentially of neutral sugars  
3           migrating at the same rate as mannose, fucose, fructose and  
4           galactose, acidic sugars migrating at the same rate as  
5           fucose and amine sugars migrating at the same rate as  
6           glucose and fucose, and wherein the  
7           galactose:fucose:glucose:mannose is in a ratio of 1:2:3:6.

1           19. The plasma expander of claim 18, wherein the  
2           exopolysaccharide is produced by LAB-1 at ATCC No. PTA-2500.



1           20. The plasma expander of claim 18, wherein the  
2       exopolysaccharide is produced by the bacterium of claims 1-  
3       8.

1           21. The plasma expander of claim 18, further  
2       comprising cations in the following concentrations: sodium  
3       at 110 to 120 mEq/1, calcium at about 5 mEq/1, potassium 0  
4       to 3 mEq/1, and magnesium at 0 to 0.9 mEq/1.

1           22. The plasma expander of claim 18, further  
2       comprising at least one buffer and a nutrient, and  
3       optionally, vitamin K and optionally, human serum albumin.

1           23. A composition, which inhibits the growth and  
2       development of the bacterium of claims 1-8.

1           24. The composition of claim 23, which comprises  
2       propionic acid.

3           25. The composition of claim 23, which comprises a  
4       derivative of propionic acid.

1           26. The composition of claim 23, which comprises a  
2           compound with a chemical structure or backbone related to  
3           propionic acid.

1           27. The composition of claim 23, which comprises 2-(4-  
2           isobutylphenyl)-propionic acid.

1           28. A composition, which inhibits the biofilm  
2           production of the bacterium of claims 1-8.

1           29. The composition of claim 28, which comprises  
2           propionic acid.

1           30. The composition of claim 28, which comprises a  
2           derivative of propionic acid.

1           31. The composition of claim 28, which comprises a  
2           compound with a chemical structure or backbone related to  
3           propionic acid.

1           32. The composition of claim 28, which comprises 2-(4-  
2           isobutylphenyl)-propionic acid.

1           33. A composition, which inhibits the growth and  
2   development of a mucoid organism.

1           34. The composition of claim 33, which comprises  
2   propionic acid.

1           35. The composition of claim 33, which comprises a  
2   derivative of propionic acid.

1           36. The composition of claim 33, which comprises a  
2   compound with a chemical structure or backbone related to  
3   propionic acid.

1           37. The composition of claim 33, which comprises 2-(4-  
2   isobutylphenyl)-propionic acid.

1           38. A composition, which inhibits the biofilm  
2   production of a mucoid organism.

3           39. The composition of claim 38, which comprises  
4   propionic acid.

Patent Application  
Docket No. 48297-5USPT

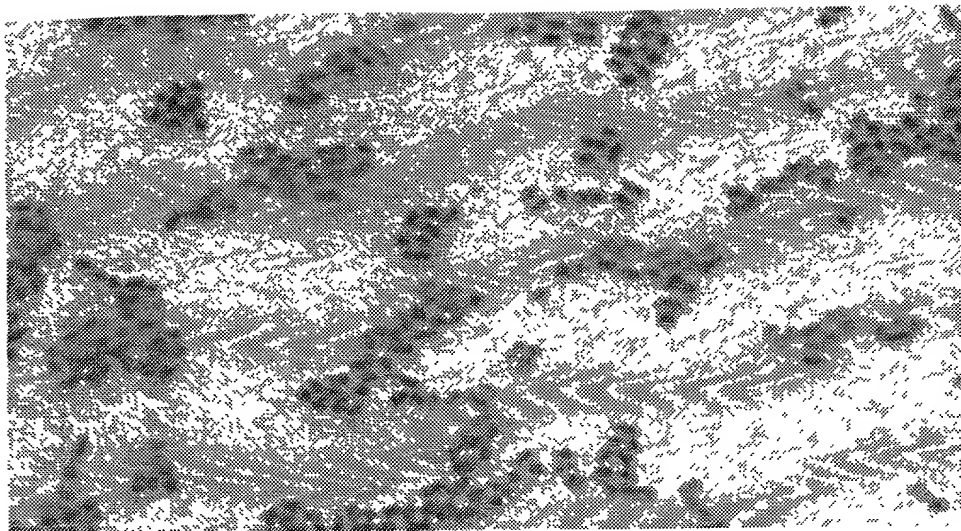
1           40. The composition of claim 38, which comprises a  
2       derivative of propionic acid.

1           41. The composition of claim 38, which comprises a  
2       compound with a chemical structure or backbone related to  
3       propionic acid.

1           42. The composition of claim 38, which comprises 2-(4-  
2       isobutylphenyl)-propionic acid.

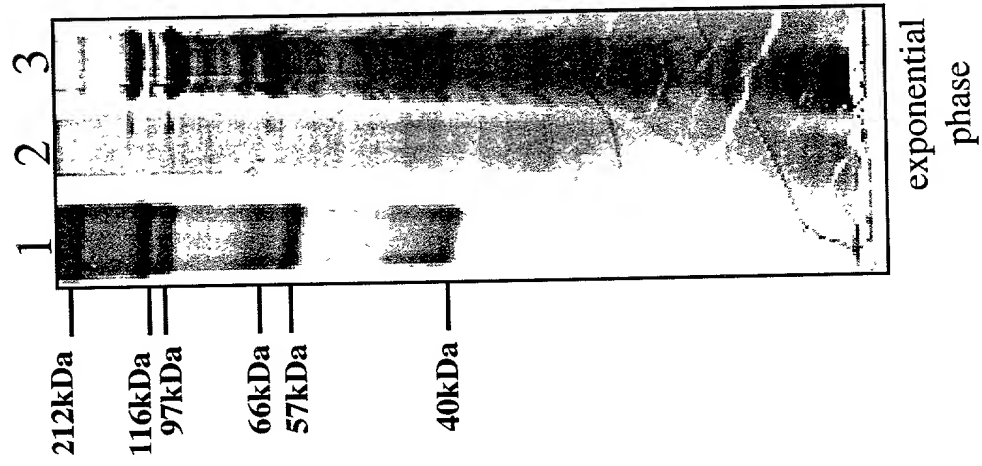
**ABSTRACT OF THE DISCLOSURE**

5 A novel microorganism producing a nontoxic, non-antigenic exopolysaccharide is taught. The exopolysaccharide has neutral sugars migrating at the same rate as mannose, fucose, fructose and galactose, acidic sugars migrating at the same rate as fucose and amine sugars migrating at the same rate as glucose and fucose, and wherein the ratio of galactose:fucose:glucose:mannose is about 1:2:3:6. The microbe and the exopolysaccharide have  
10 uses as a biofilm in geologic applications and have several consumer uses as food and drug polymers and use as a plasma extender.

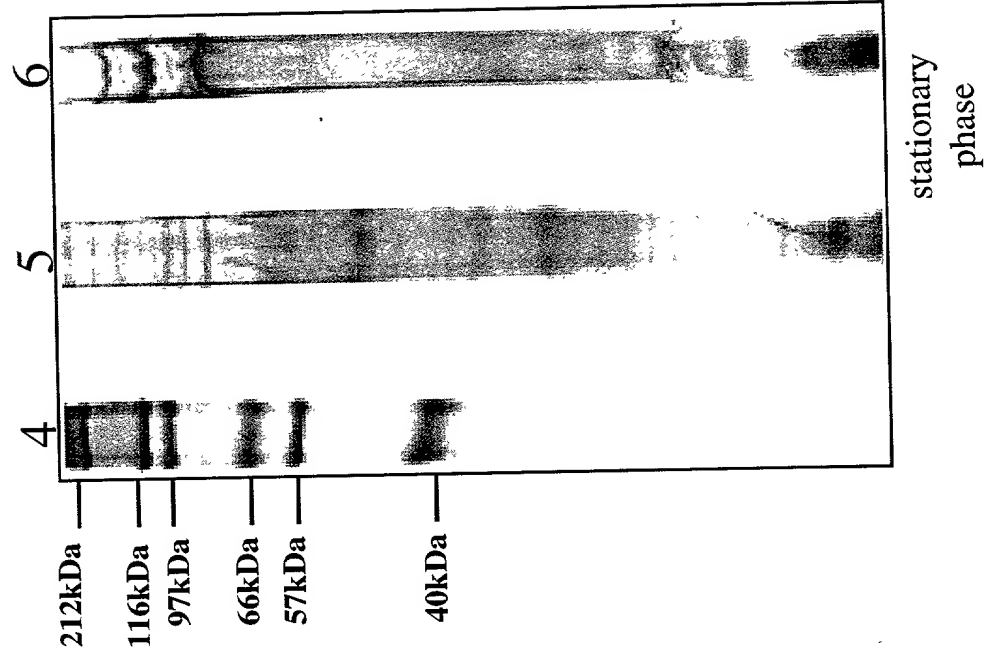


**Figure 1**

**Figure 2**



**Figure 3**



Lanes 1 & 4: M.W. stds.

Lanes 2 & 5: UTD-001

Lanes 3 & 6: *B. indica* 9038

RT	Area	Ar/Ht	Resp	ECL	Name	%	Comment 1	Comment 2
1.681	412383000	0.030	.....	7.006	SOLVENT PEAK	....	< min rt	
1.794	16776	0.024	.....	7.227	.....	....	< min rt	
2.241	750	0.044	.....	8.104	.....	....	< min rt	
2.300	1326	0.044	.....	8.219	.....	....	< min rt	
2.347	504	0.032	.....	8.312	.....	....	< min rt	
3.849	1128	0.028	1.102	10.916	Sum In Feature 3	0.15	ECL deviates 0.002	12:0 ALDE ?
4.833	25458	0.031	1.052	12.000	12:0	3.34	ECL deviates - 0.000	Reference - 0.004
5.989	4578	0.038	1.015	13.000	13:0	0.58	ECL deviates 0.000	Reference - 0.003
7.102	1032	0.043	.....	13.813	.....	....		
7.296	4584	0.050	0.986	13.954	unknown 13.961	0.56	ECL deviates - 0.007	
7.358	54882	0.039	0.985	13.999	14:0	6.74	ECL deviates - 0.001	Reference - 0.004
8.080	4134	0.040	0.974	14.469	Sum In Feature 2	0.50	ECL deviates - 0.001	13:0 30H/15:1 i I/H
8.130	4284	0.039	0.973	14.501	unknown 14.503	0.52	ECL deviates - 0.002	
8.576	810	0.038	0.967	14.791	15:1 w8c	0.10	ECL deviates - 0.001	
8.897	32478	0.041	0.963	15.000	15:0	3.90	ECL deviates - 0.000	Reference - 0.004
9.189	1974	0.043	.....	15.176	.....	....		
9.704	58386	0.044	0.955	15.485	Sum In Feature 3	6.95	ECL deviates 0.003	16:1 ISO I/14:0 30H
10.259	183384	0.044	0.950	15.819	Sum In Feature 4	21.7	ECL deviates 0.002	16:1 w7c/15 iso 20H
10.407	1512	0.043	0.948	15.908	15:1 w5c	8.18	ECL deviates 0.000	
10.562	212406	0.044	0.947	16.002	16:0	25.0	ECL deviates 0.002	Reference - 0.002
10.715	1074	0.064	.....	16.091	.....	....		
11.425	1188	0.041	0.941	16.502	15:0 30H	0.14	ECL deviates - 0.002	
11.924	3132	0.051	0.938	16.792	17:1 w8c	0.37	ECL deviates - 0.000	
12.091	87876	0.048	0.937	16.889	17:0 CYCLO	10.2	ECL deviates 0.001	Reference - 0.003
12.282	14676	0.046	0.936	17.000	17:0	1.71	ECL deviates - 0.000	Reference - 0.004
13.598	996	0.050	.....	17.750	.....	....		
13.725	146304	0.048	0.929	17.822	Sum In Feature 7	16.9	ECL deviates 0.000	18:1 w7c/w9t/w12t
14.032	1122	0.044	0.928	17.997	18:0	0.13	ECL deviates - 0.003	Reference - 0.007
14.797	1242	0.057	.....	18.437	.....	....		
14.986	822	0.053	.....	18.546	.....	....		
15.605	1446	0.048	0.923	18.901	19:0 CLYCL w8c	0.17	ECL deviates 0.001	Reference - 0.003
15.888	480	0.045	.....	19.065	.....	....		
19.033	690	0.042	.....	20.888	.....	....	> max rt	
19.715	90	0.033	.....	21.284	.....	....	> max rt	
*****	4134	.....	.....	.....	SUMMED FEATURE 2	0.50	15:1 ISO H/13:0 30H	13:0 30H/15:1 i I/H
*****	.....	.....	.....	.....	.....	....	15:1 ISO I/13:0 30H	
*****	59514	.....	.....	.....	SUMMED FEATURE 3	7.10	12:0 ALDE ?	unknown 10.928
*****	.....	.....	.....	.....	.....	....	16:1 ISO I/14:0 30H	14:0 30H/16:1 ISO I
*****	183384	.....	.....	.....	SUMMED FEATURE 4	21.7	16:1 w7c/15 iso 20H	15:0 ISO 20H/16:1w7c
*****	146304	.....	.....	.....	SUMMED FEATURE 7	16.9	18:1 w7c/w9t/w12t	18:1 w9c/w12t/w7c
*****	.....	.....	.....	.....	.....	....	18:1 w12t/w9t/w7c	

Solvent Ar	Total Area	Named Area	% Named	Total Amount	Nbr	ECL Deviation	Ref ECL Shift
41238300	851388	843768	99.10	802384	9	0.002	0.004

TSBA [Rev. 3.90] K.luyvera	0.835
K. cryocrescens	0.835
K. ascorbata	0.528
Enterobacter	0.753
E. taylorae	0.753
E. asburiae*	0.510
E. cloacae*	0.397 (excludes ATCC 35549 which is atypical)
Salmonella	0.521
S. choleraesuis	0.521
S. c. arizonae	0.521
S. c. choleraesuis	0.421
S. bongori	0.275
S. typhimurium	0.267
S. t. GC subgroup B	0.267
S. t. GC subgroup A	0.182

Figure 4



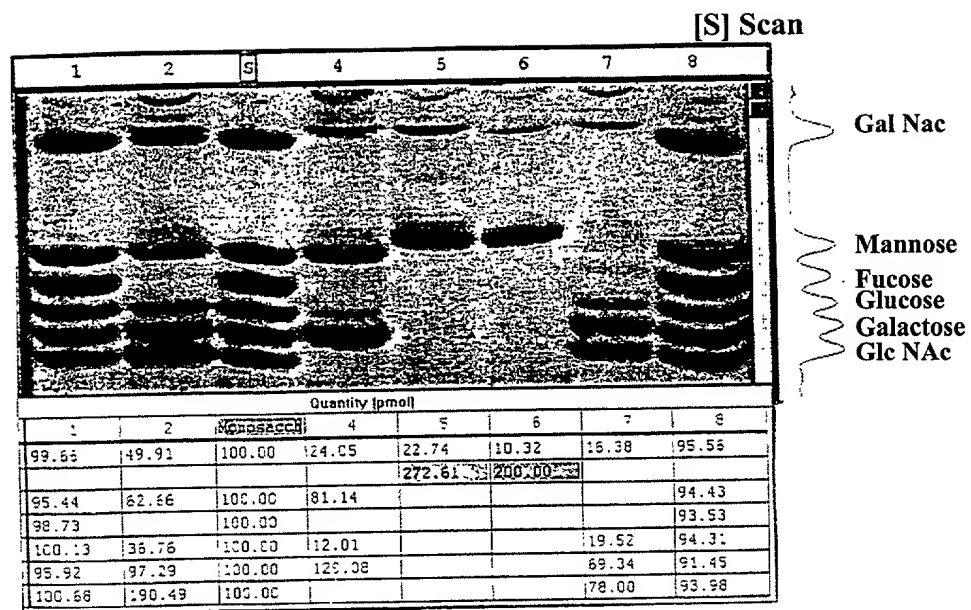


Figure 5

Method: LDE1000  
 Mode: Linear  
 Accelerating Voltage: 25000  
 Grid Voltage: 92.000 %  
 Guide Wire Voltage: 0.100 %  
 Delay: 100 ON  
 Sample: 86  
 Sensitivity-Gain Order = 2 Points = 19  
 Laser: 2070  
 Pressure: 2.81e-07  
 Low Mass Gas: 500.0  
 Timed Ion Selector: 938.0 OFF  
 Negative Ions: OFF  
 Collected: 8/24/08 2:21 PM

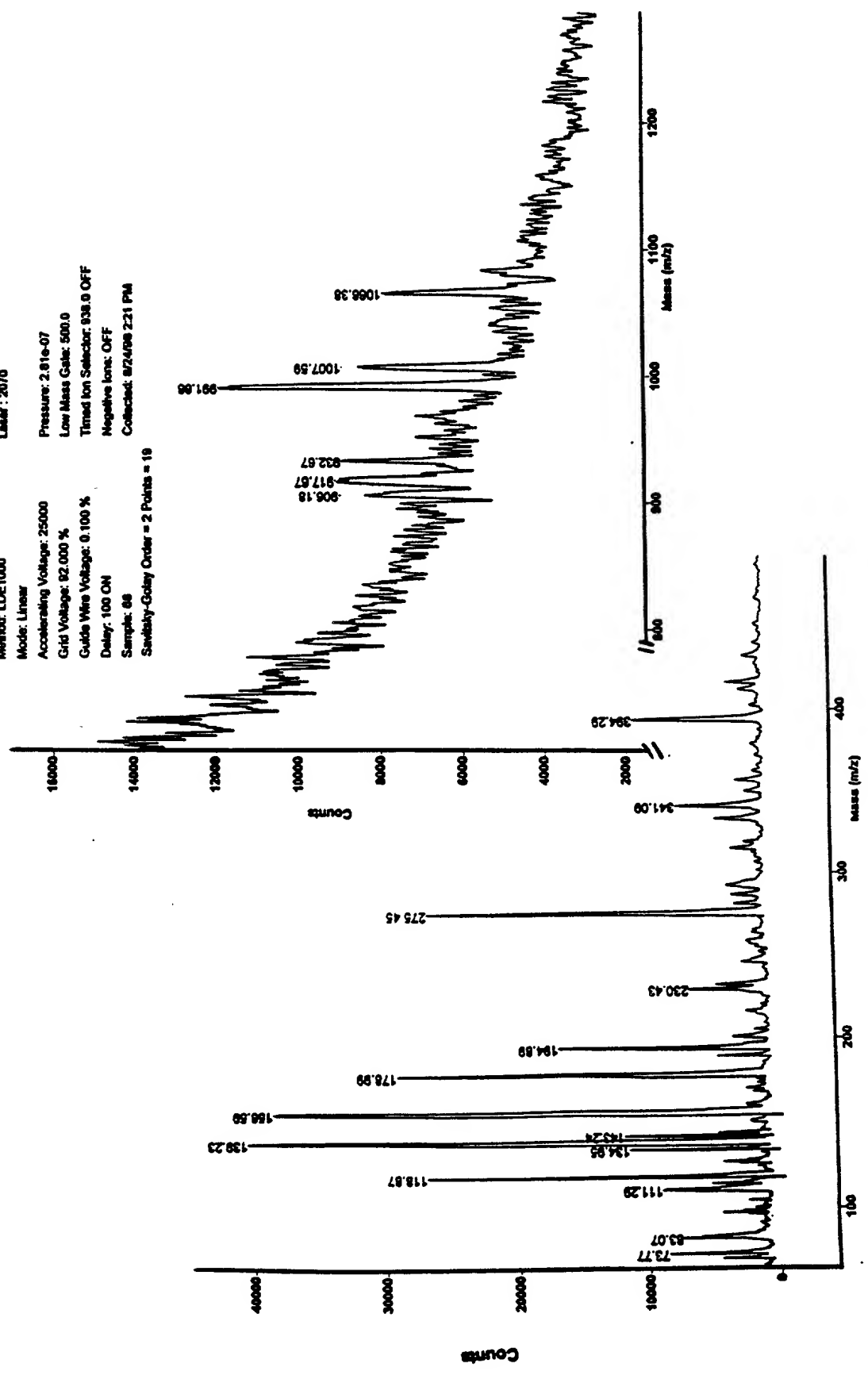


Figure 6

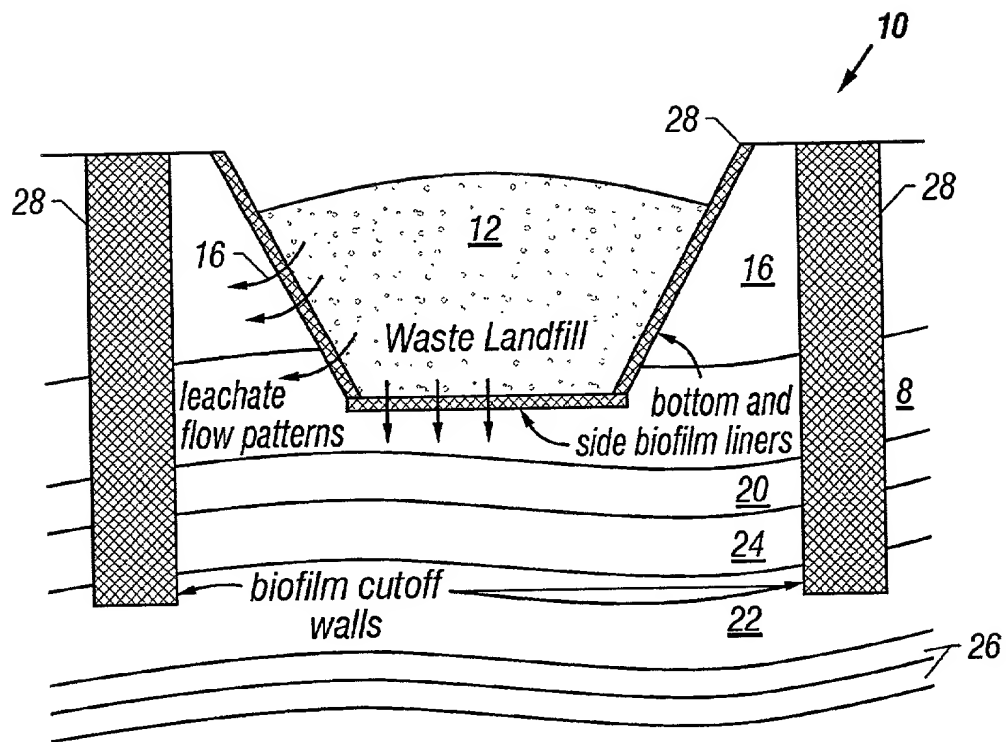


FIG. 7

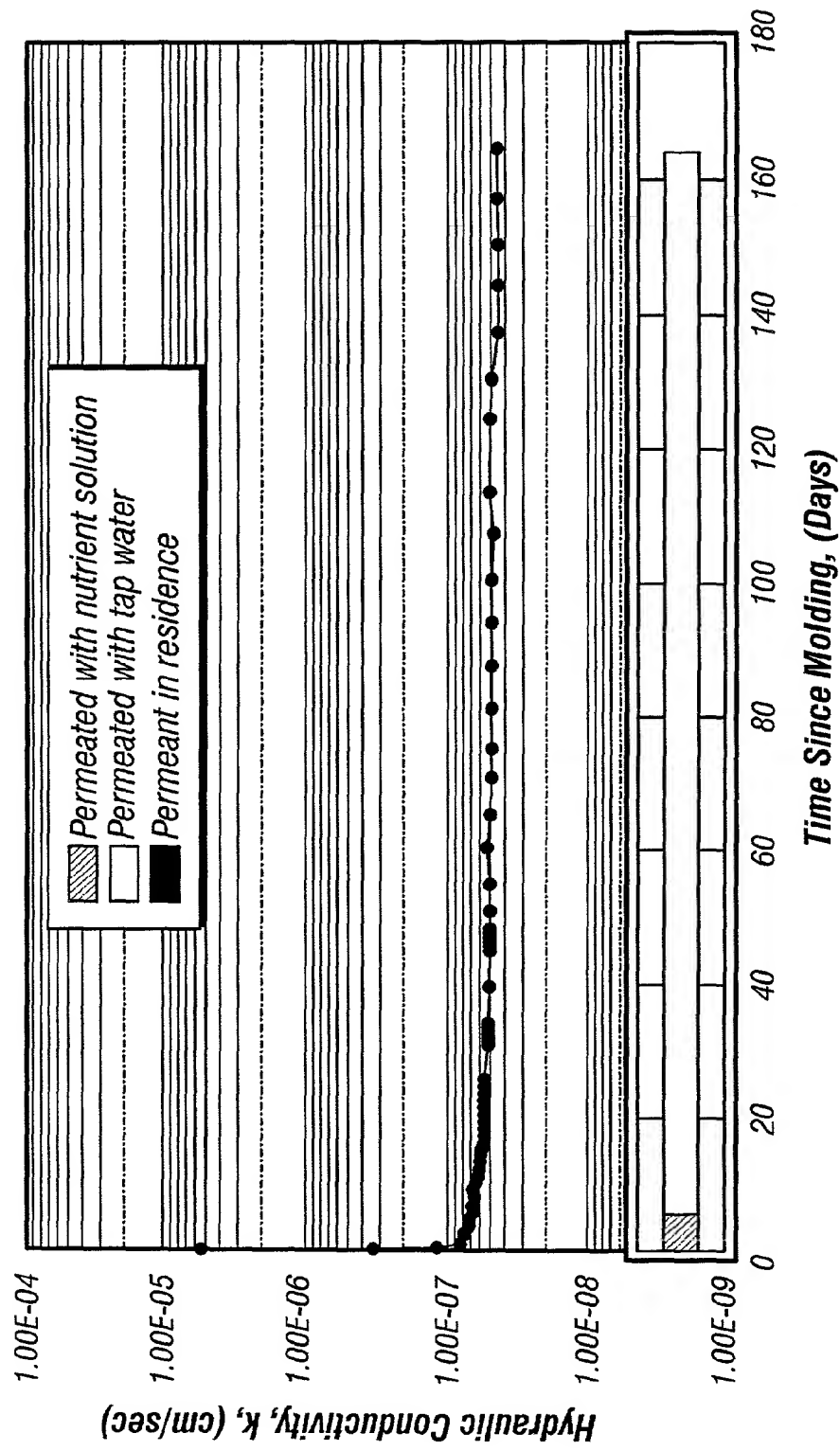


FIG. 8

**RULES 63 AND 67 (37 C.F.R. 1.63 and 1.67)  
DECLARATION AND POWER OF ATTORNEY**

**FOR UTILITY/DESIGN/CIP/PCT NATIONAL APPLICATIONS**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name; and

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **MICROBE, MICROBIAL EXOPOLYSACCHARIDE, AND USES THEREOF**, the specification of which: (mark only one)

- X   (a) is attached hereto.  
      (b) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and  
was amended on \_\_\_\_\_ (if applicable)  
      (c) was filed as PCT International Application No. PCT/\_\_\_\_\_ on  
\_\_\_\_\_ and was amended on \_\_\_\_\_ (if applicable).  
      (d) was filed on \_\_\_\_\_ as Application Serial No. \_\_\_\_\_ and  
was issued a Notice of Allowance on \_\_\_\_\_.  
      (e) was filed on \_\_\_\_\_ and bearing attorney docket number \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims as amended by any amendment referred to above or as allowed as indicated above.

I acknowledge the duty to disclose all information known to me to be material to the patentability of this application as defined in 37 CFR § 1.56. If this is a continuation-in-part (CIP) application, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose to the Office all information known to me to be material to patentability of the application as defined in 37 CFR § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this CIP application.

I hereby claim foreign priority benefits under 35 U.S.C. § 119/365 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate filed by me or my assignee disclosing the subject matter claimed in this application and having a filing date (1) before that of the application on which my priority is claimed or, (2) if no priority is claimed, before the filing date of this

application:

PRIOR FOREIGN PATENTS

<u>Number</u>	<u>Country</u>	<u>Month/Day/Year</u> <u>Filed</u>	<u>Date first</u> <u>laid-open or</u>	<u>Date</u> <u>patented or</u>	<u>Priority Claimed</u>	
			<u>Published</u>	<u>Granted</u>	<u>Yes</u>	<u>No</u>
_____	_____	_____	_____	_____	_____	_____

I hereby claim the benefit under 35 U.S.C. § 120/365 of any United States application(s) listed below and PCT international applications listed above or below:

PRIOR U.S. OR PCT APPLICATIONS

<u>Application No. (series code/serial no.)</u>	<u>Month/Day/Year Filed</u>	<u>Status(pending, abandoned, patented)</u>
60/161,588	October 26, 1999	pending
60/161,391	October 26, 1999	pending

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all of the firm of **JENKENS & GILCHRIST, P.C.**, 3200 Fountain Place, 1445 Ross Avenue, Dallas, Texas 75202-2799, as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application, provisionals thereof, continuations, continuations-in-part, divisionals, appeals, reissues, substitutions, and extensions thereof and to transact all business in the United States Patent and Trademark Office connected therewith, to appoint any individuals under an associate power of attorney and to file and prosecute any international patent application filed thereon before any international authorities, and I hereby authorize them to act and rely on instructions from and communicate directly with the person/assignee/attorney/firm/organization who/which first sent this case to them and by whom/which I hereby declare that I have consented after full disclosure to be represented unless/until I instruct them in writing to the contrary.

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I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

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